

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

**Methods And Arrangements For Providing A Mark-Up
Language Based Graphical User Interface For User
Identification To An Operating System**

Inventors:
Giampiero M. Sierra
&
Christopher A. Evans

ATTORNEY'S DOCKET NO. MS1-485US

1 **RELATED APPLICATION**

2 > This application claims priority from U.S. Provisional Application Serial
3 No. 1, filed December 15, 1999 (Applicant's Docket Number
4 149399.1, entitled "Web-Based User Interface For User Identification To The
5 Operating System", express mailing label number EL425348720US), the
6 disclosure of which is incorporated by reference herein.

7 **TECHNICAL FIELD**

8 This invention relates to computers and software, and more particularly to
9 methods and arrangements that provide a mark-up language based graphical user
10 interface (GUI) that can be implemented to identify users to an operating system.

11 **BACKGROUND OF THE INVENTION**

12 Computer systems are often protected by a logon program and/or other
13 subsequent authentication programs that determine whether a user has permission
14 to access certain computer system resources. By way of example, a networked
15 computer may require that a user input a valid user name and password before the
16 user is allowed to access network resources. Similarly, a Web site on the World
17 Wide Web (WWW) portion of the Internet or on an intranet may require a valid
18 user ID and password before the user is allowed to gain further access to various
19 resources.

20 Controlling user access is not limited to networked computers. A single
21 computer that is accessed by several users may also need to limit access to files
22 and/or various programs therein. Thus, for example, in a home environment, a
23 parent may decide to limit a child's access to the computer entirely, certain
24

1 programs and/or certain data. Similarly, in a business environment, certain users
2 may have limited access.

3 Controlling access to computers as described above is well known.
4 Typically, there is an initial logon program or the like that requests user input,
5 receives the user input and determines if the user is allowed access. Once the user
6 has been authenticated, then other programs are allowed to operate. For example,
7 in a networked operating system environment, during the booting-up of a personal
8 computer (PC) or like device connected to the network, the user is typically
9 presented with a modal dialog requesting a user name and associated password. In
10 this example, the modal dialog is displayed by the network's logon program. For
11 a single PC, a logon program associated with the operating system may display a
12 similar modal dialog.

13 In either case, the modal dialog tends to be tightly integrated within the
14 logon program code of the network software and/or operating system software.
15 As a result, it is often difficult and expensive to significantly alter the modal
16 dialog or otherwise to introduce new functional and nonfunctional features, such
17 as those typically associated with conventional graphical user interfaces (GUIs).

18 Thus, there is need for improved methods and arrangements that provide
19 enriched techniques for identifying users to an operating system. Preferably, the
20 methods and arrangements will allow for a more advanced GUI to be presented to
21 the user, while also remaining easy for the developer to maintain and modify.

1 **SUMMARY OF THE INVENTION**

2 The present invention includes various methods and arrangements that can
3 be implemented to identify users to an operating system through an advanced
4 graphical user interface (GUI). The resulting GUI can be visually compelling and
5 functional while advantageously remaining easy for the developer to create,
6 maintain and modify.

7 Thus, for example, the above stated needs and others are met by a method
8 that includes arranging for a markup language rendering engine to be loaded
9 substantially near the beginning of an operating system initialization procedure,
10 and providing markup language code suitable for use with the markup language
11 rendering engine. The markup language is capable of soliciting at least one user
12 input associated with a user logon process when rendered by the markup language
13 rendering engine.

14 With the above example in mind, in accordance with certain
15 implementations, a logon screen, for example, can be rendered from code written
16 in Dynamic HTML (Hypertext Markup Language), eXtensible Markup Language
17 (XML), eXtensible Hypertext Markup Language (XHTML), Standard Generalized
18 Markup Language (SGML), or the like.

19 For the logon screen to be most effective upon initializing the computer,
20 there will usually be a need to render the associated markup language file(s) early
21 during the initialization stage. Accordingly, in certain implementations, the
22 markup language rendering engine is loaded very near the beginning of the
23 initialization of the operating system.

1 **BRIEF DESCRIPTION OF THE DRAWINGS**

2 A more complete understanding of the various methods and arrangements
3 of the present invention may be had by reference to the following detailed
4 description when taken in conjunction with the accompanying drawings wherein:

5 Fig. 1 is a block diagram depicting an exemplary computer system.

6 Fig. 2 depicts an exemplary mark-up language based graphical user
7 interface suitable for use in the computer system of Fig. 1 in identifying users to
8 the operating system.

9 Fig. 3 is a flowchart depicting an exemplary process for identifying users to
10 the operating system using a mark-up language based graphical user interface.

11 **DETAILED DESCRIPTION**

12 As shown in Fig. 1, computer 20 includes one or more processors or
13 processing units 21, a system memory 22, and a bus 23 that couples various
14 system components including the system memory 22 to processors 21. Bus 23
15 represents one or more of any of several types of bus structures, including a
16 memory bus or memory controller, a peripheral bus, an accelerated graphics port,
17 and a processor or local bus using any of a variety of bus architectures.

18 The system memory includes read only memory (ROM) 24 and random
19 access memory (RAM) 25. A basic input/output system (BIOS) 26, containing the
20 basic routines that help to transfer information between elements within computer
21 20, such as during start-up, is stored in ROM 24.

22 Computer 20 further includes a hard disk drive 27 for reading from and
23 writing to a hard disk, not shown, a magnetic disk drive 28 for reading from and
24 writing to a removable magnetic disk 29, and an optical disk drive 30 for reading

1 from or writing to a removable optical disk 31 such as a CD ROM, DVD ROM or
2 other optical media. The hard disk drive 27, magnetic disk drive 28 and optical
3 disk drive 30 are each connected to bus 23 by applicable interfaces 32, 33 and 34,
4 respectively.

5 The drives and their associated computer-readable media provide
6 nonvolatile storage of computer readable instructions, data structures, program
7 modules and other data for computer 20. Although the exemplary environment
8 described herein employs a hard disk, a removable magnetic disk 29 and a
9 removable optical disk 31, it should be appreciated by those skilled in the art that
10 other types of computer readable media which can store data that is accessible by a
11 computer, such as magnetic cassettes, flash memory cards, digital video disks,
12 random access memories (RAMs) read only memories (ROM), and the like, may
13 also be used in the exemplary operating environment.

14 A number of program modules may be stored on the hard disk, magnetic
15 disk 29, optical disk 31, ROM 24, or RAM 25, including an operating system 35,
16 one or more application programs 36, other program modules 37, and program
17 data 38. A user may enter commands and information into computer 20 through
18 input devices such as keyboard 40 and pointing device 42. Other input devices
19 (not shown) may include a microphone, joystick, game pad, satellite dish, scanner,
20 or the like. These and other input devices are connected to the processing unit 21
21 through an interface 46 that is coupled to bus 23.

22 A monitor 47 or other type of display device is also connected to bus 23 via
23 an interface, such as a video adapter 48. In addition to the monitor, personal
24 computers typically include other peripheral output devices (not shown) such as
25 speakers and printers.

1 Computer 20 can operate in a networked environment using logical
2 connections to one or more remote computers, such as a remote computer 50.
3 Remote computer 50 may be another personal computer, a server, a router, a
4 network PC, a peer device or other common network node, and typically includes
5 many or all of the elements described above relative to computer 20. The logical
6 connections depicted in Fig. 2 include a local area network (LAN) 51 and a wide
7 area network (WAN) 52. Such networking environments are commonplace in
8 offices, enterprise-wide computer networks, intranets, and the Internet.

9 When used in a LAN networking environment, computer 20 is connected to
10 the local network 51 through a network interface or adapter 156. When used in a
11 WAN networking environment, computer 20 typically includes a modem 54 or
12 other means for establishing communications over the wide area network 52, such
13 as the Internet. Modem 54, which may be internal or external, is connected to bus
14 23 via interface 46. In a networked environment, program modules depicted
15 relative to the personal computer 20, or portions thereof, may be stored in the
16 remote memory storage device. It will be appreciated that the network
17 connections shown are exemplary and other means of establishing a
18 communications link between the computers may be used.

19 Reference is now made to Fig. 2, which depicts an exemplary mark-up
20 language based graphical user interface (GUI) display suitable for use in
21 identifying users to the operating system of computer 20. Here, a logon screen
22 100 is shown as having a first title area 102 that identifies logon screen 100.
23 Logon screen 100 may take up be a full screen of display 47 or a portion thereof.
24 As shown, first title area 102 can include any graphical feature (e.g., bitmap,
25 photo, video, text, etc).

1 Logon screen 100 also includes a single/multiple user logon area 104
2 wherein the user is presented with selectable user identifiers and related
3 information and permitted to input certain data. In this example, logon area 104 is
4 configured for five different users, namely, Billy, Christopher, Pat, Suzie, and
5 William; each being identified by a text identifier 110 and graphical identifier 112.
6 Thus, William may begin logging on to the operating system by selecting either
7 text identifier 110, graphical identifier 112. If William has an established
8 password, then a password input field 114 is displayed and configured to receive
9 his input (i.e., password). Once William's password has been authenticated then
10 William is logged on. If William does not have an established password, then he
11 would be logged on after selecting text identifier 110 or graphical identifier 112.

12 Logon screen 100 can also be configured to display other user related
13 information 116 to a user. In this example, user related information 116 identifies
14 that Suzie has ten (10) new messages.

15 A second title area 106 is shown in this example along the left hand border
16 of logon screen 100. Here, second title area 106 identifies the computer as the
17 "Den Computer". A selectable shut down mechanism 108 is also provided to
18 allow a user to shut down the computer.

19 With the above example in mind, in accordance with certain
20 implementations, logon screen 100 is a mark-up language based GUI. For
21 example, a Dynamic HTML (Hypertext Markup Language) can be used to create
22 logon screen 100. Dynamic HTML provides a mechanism to include a wide
23 variety of functional as well as non-functional features to logon screen 100. Other
24 types of mark-up languages and the like may also be used to define logon screen
25 100. For example, eXtensible Markup Language (XML), eXtensible Hypertext

1 Markup Language (XHTML) or Standard Generalized Markup Language (SGML)
2 may be used.

3 For logon screen 100 to be effective upon initializing computer 20, there is
4 a need to render the associated markup language file(s) early during the
5 initialization stage. Accordingly, a markup language rendering engine (i.e.,
6 program) is loaded very near the beginning of the initialization of the operating
7 system. Such rendering engines are well known. An exemplary markup language
8 rendering engine is provided within Microsoft Internet Explorer (IE).

9 Dynamic HTML allows developers to create very attractive and colorful
10 user interfaces. Thus, logon screen 100 may incorporate graphics and animations
11 easily, while scripting complex behaviors, such as defining what happens when a
12 user clicks on their name. The logon screen can be multi-layered and scaled to
13 work with different resolutions. Additionally, complex graphical visual effects,
14 such as, e.g., alpha blending, can be employed to create fades and transparencies
15 that would be very difficult to implement in a traditional modal dialog interface.

16 By using a markup language and preloading the markup language rendering
17 engine in the logon context, developers can advantageously prototype and
18 generate dynamic user interfaces quickly and at a lower cost than would be
19 required to significantly modify a conventional modal dialog. Thus, conceivably,
20 each computer may have its own custom logon screen.

21 Having the ability to rapidly create and prototype logon screen designs will
22 also make it easy for users to agree on what they like. For example, by changing
23 the Cascading Style Sheets (CSS) and Dynamic HTML templates, developers can
24 quickly change the logon screen without affecting the logon program code

1 Reference is now made to Fig. 3, which is a flowchart depicting an
2 exemplary process 200 for identifying users to the operating system using a mark-
3 up language based GUI.

4 In step 202, the logon program is initiated. This would occur upon
5 rebooting computer 20, for example. Next, in step 204, a separate process, having
6 a markup language rendering engine, is spawned to host the markup language
7 content.

8 In step 206, the separate process retrieves user data from the operating
9 system or elsewhere. The user data can include a listing of users, associated text
10 identifiers 110, graphical identifiers 112, a password enabled identifier, and
11 possibly, a password hint data (if enabled). Next, in step 208, the markup
12 language rendering engine displays logon screen 100 along with applicable
13 portions of the user data.

14 In step 210 the markup language rendering engine collects user inputs.
15 This can include user mouse clicks, user typed text, audio commands, and/or other
16 acceptable forms of user input. In the example of Fig. 2, William would select text
17 identifier 110 or graphical identifier 112. Assuming that William has established a
18 password (i.e., password is enabled), then he would need to enter his password.

19 Next, in step 212, the user inputs (e.g., user name and password) are
20 provided to the logon program. In step 214, the logon program attempts to
21 authenticate the user. If the user is authenticated, then a user desktop and/or
22 workspace is created and subsequently displayed on display 47. If the user is not
23 authenticated in step 214, then process 200 would return to either step 208, step
24 210, or otherwise handle the failed attempted logon.

1 Process 200 can be implemented, for example, within a Microsoft Windows
2 operating system environment using Dynamic HTML and available interfaces.
3 Thus, a logon process, known as WinLogon, spawns a separate process to host the
4 Dynamic HTML content. When WinLogon launches the separate process, it
5 provides a mechanism to communicate with WinLogon so that the HTML
6 interface can ask WinLogon to authenticate the user and start their desktop
7 session. The Dynamic HTML code then makes calls to an ActiveX control or like
8 applet that communicates with the operating system to determine the list of users,
9 the picture to associate with the user, a password hint if one was configured by the
10 user and whether the user has a password configured. The user then selects their
11 picture or name, for example, and types in their password (if needed), after which
12 the HTML code calls the ActiveX control with the user name and password. The
13 ActiveX control then passes this information back to WinLogon where the
14 authentication takes place. If the user is authenticated, then WinLogon creates the
15 user's desktop and switches to it. At this point, the Dynamic HTML process is
16 finished. Thereafter, the rendering engine may remain loaded or may be
17 terminated.

18 Although some preferred embodiments of the various methods and
19 arrangements of the present invention have been illustrated in the accompanying
20 Drawings and described in the foregoing Detailed Description, it will be
21 understood that the invention is not limited to the exemplary embodiments
22 disclosed, but is capable of numerous rearrangements, modifications and
23 substitutions without departing from the spirit of the invention as set forth and
24 defined by the following claims.

25